

FOIA b 7 - D

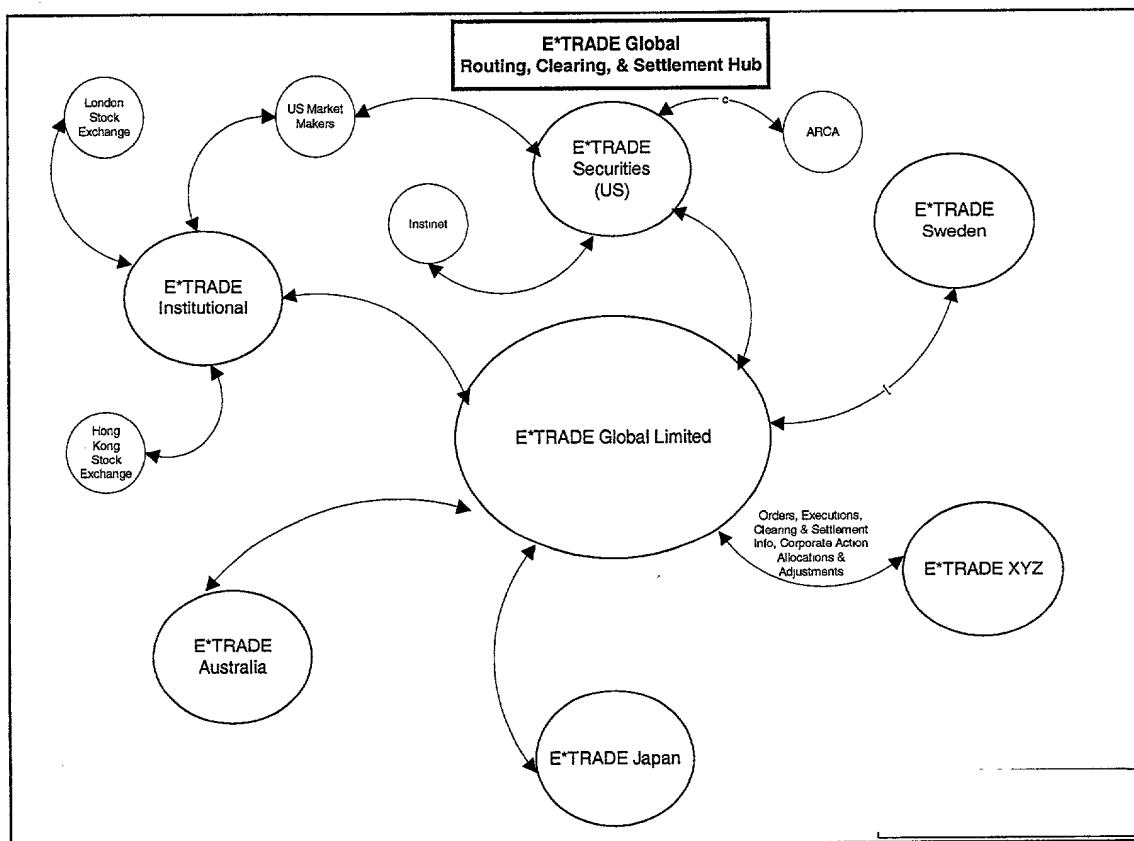


FIGURE 1

FIGURE 2

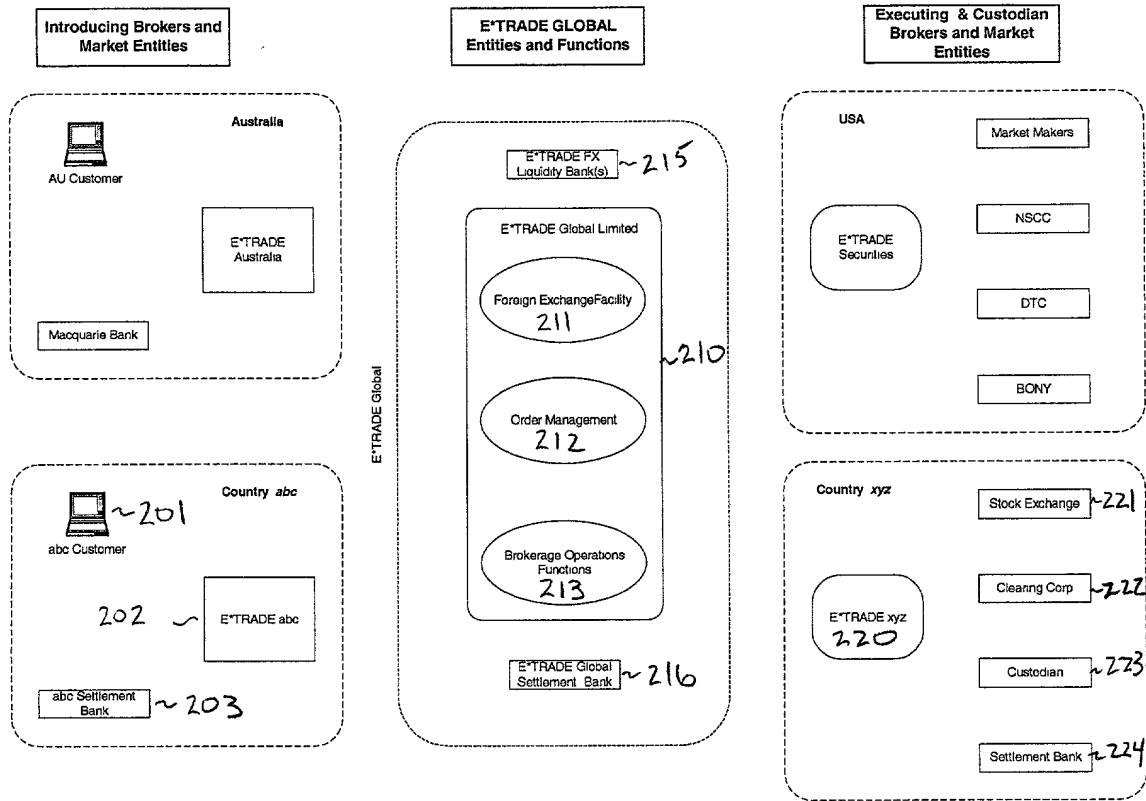


FIGURE 2

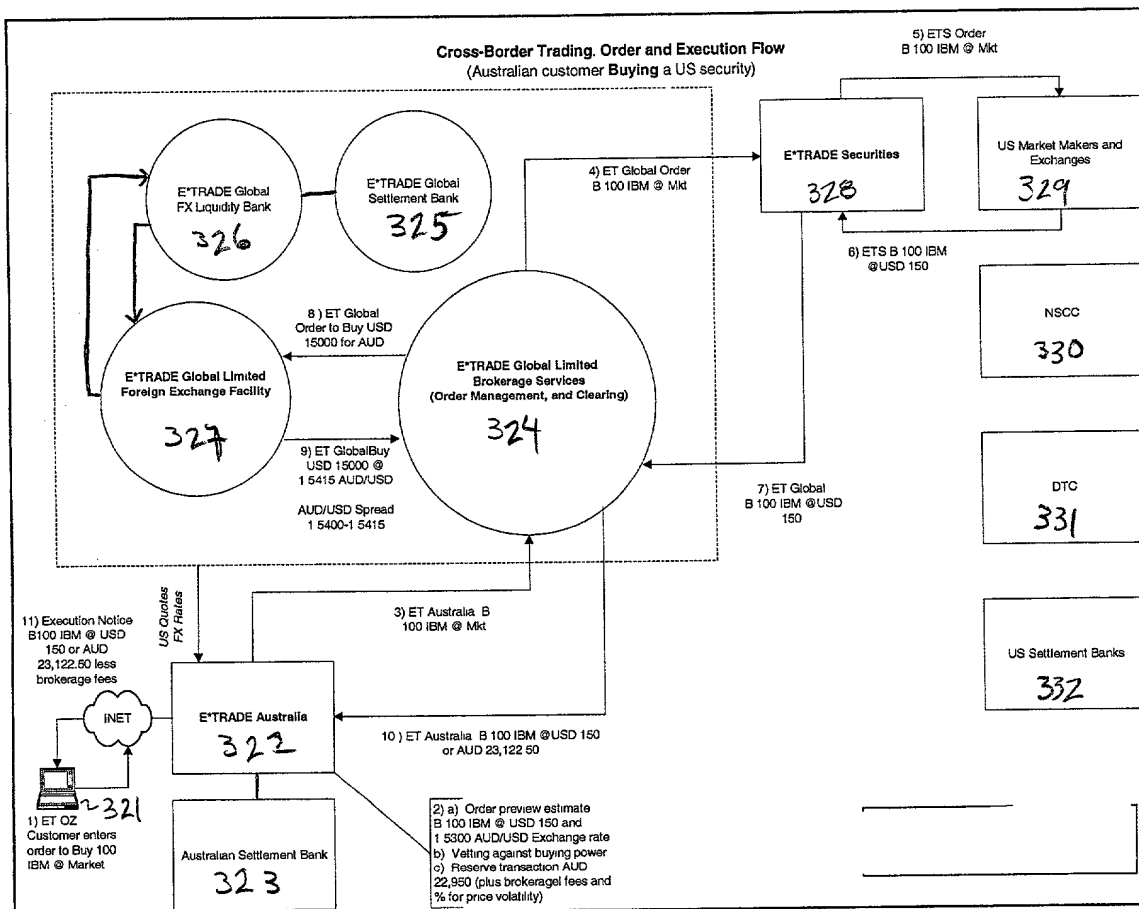


FIGURE 3A

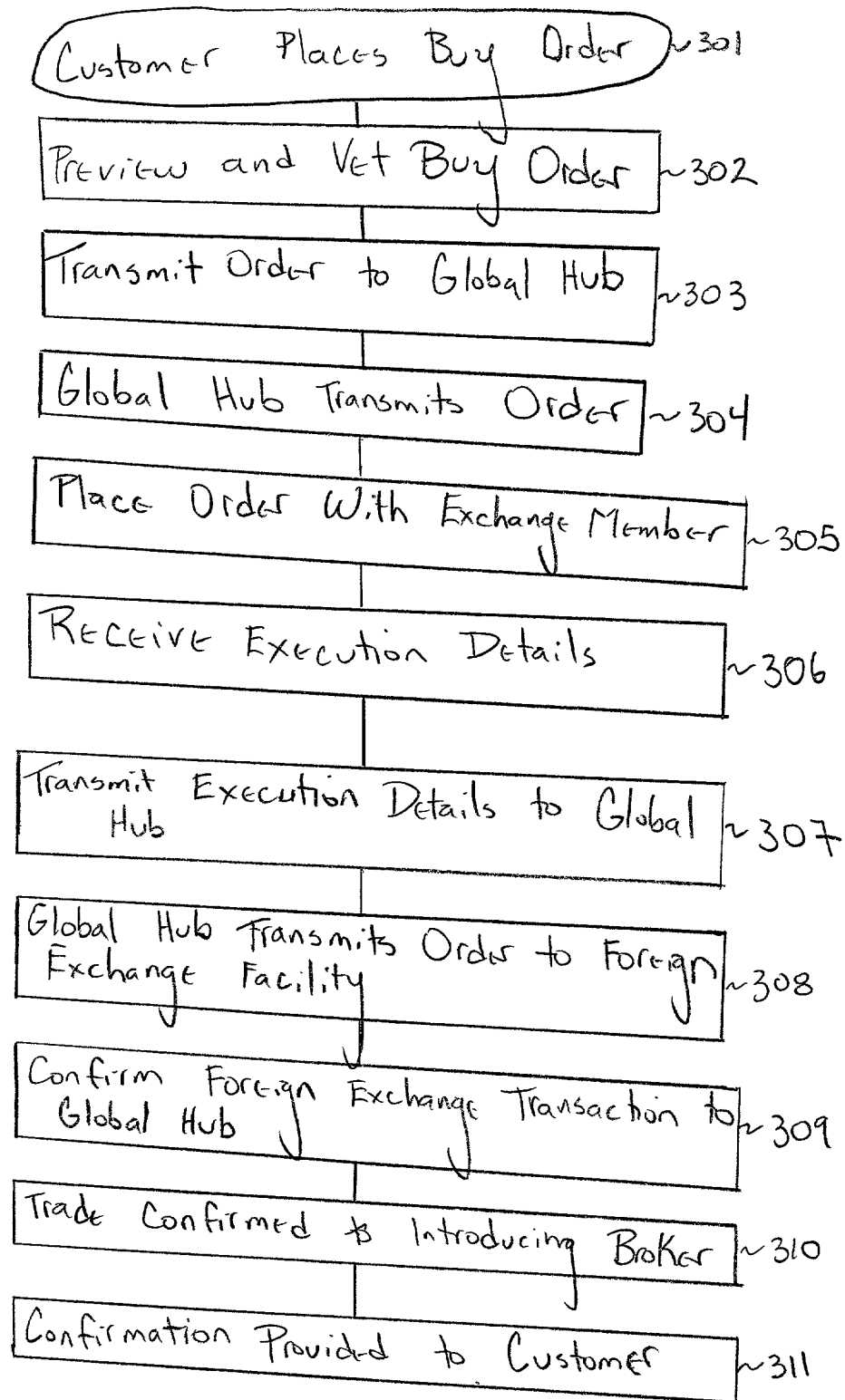


FIGURE 3B

For "426" 426/50

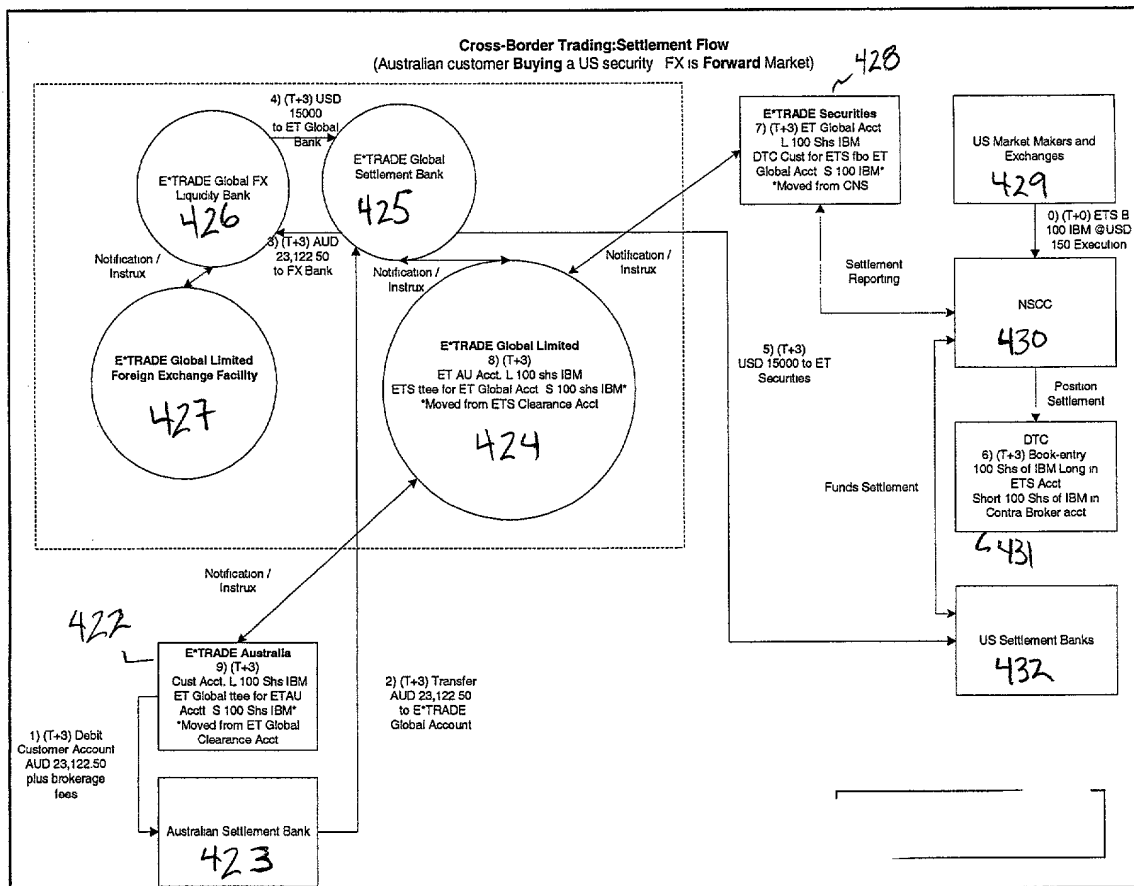


FIGURE 4A

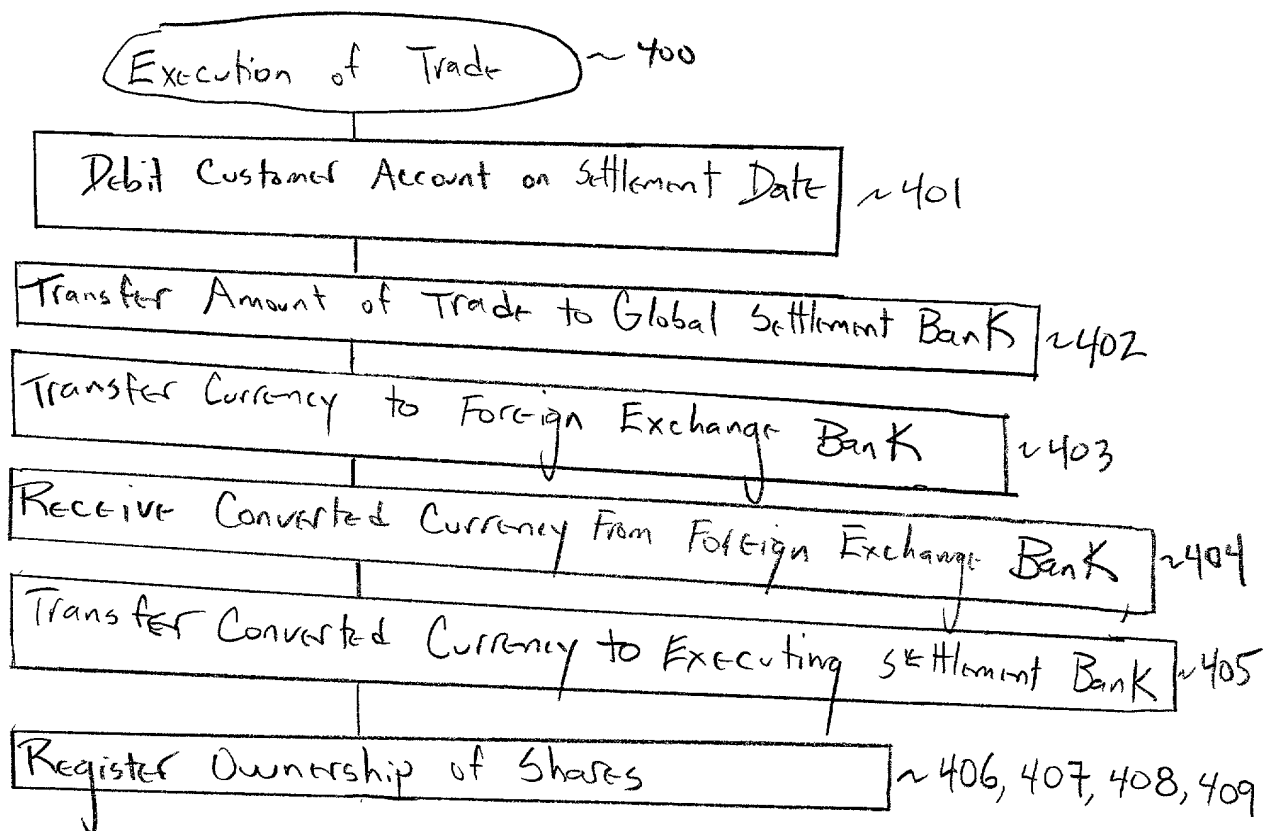
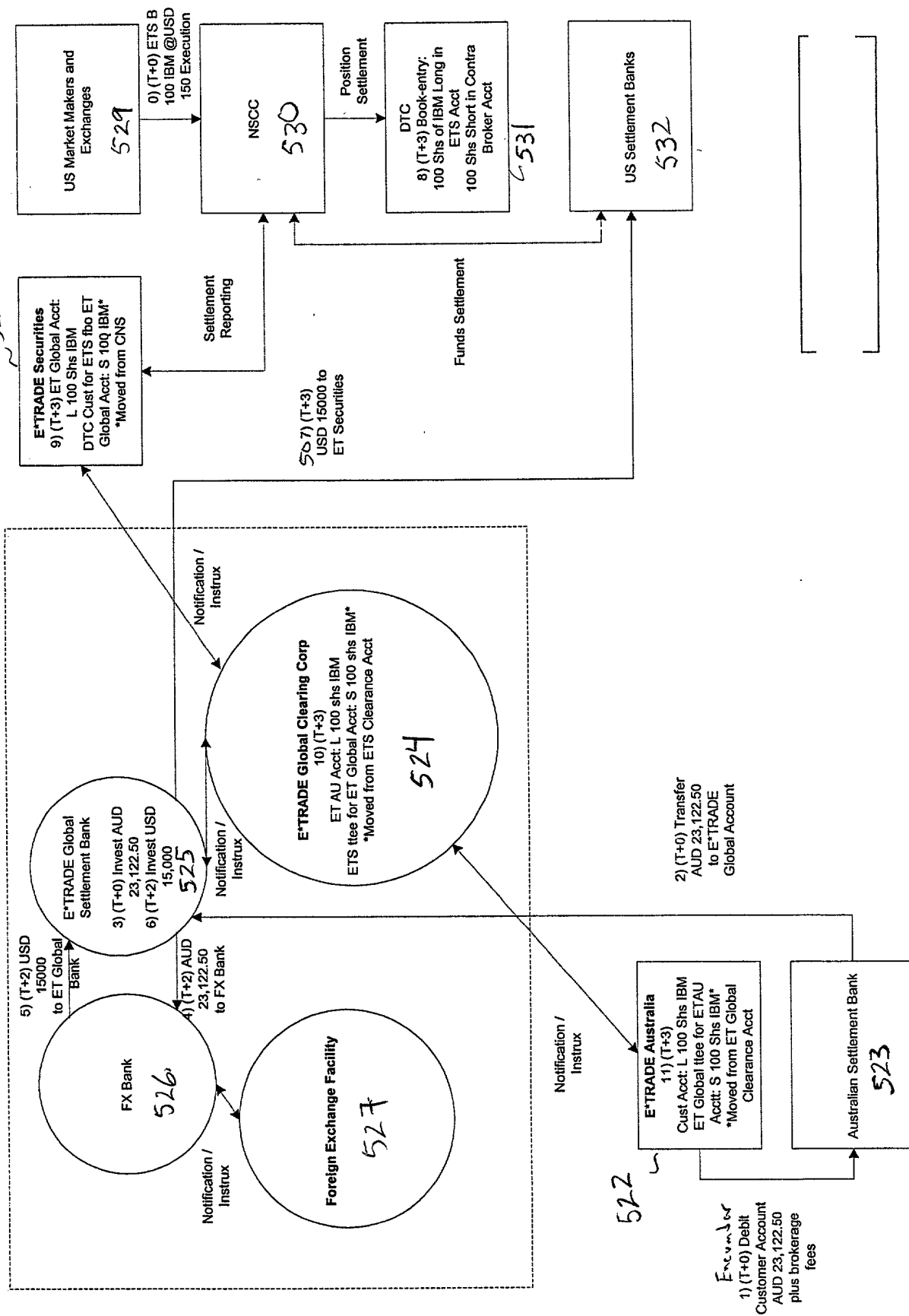


FIGURE 4B

**Cross-Border Trading: Settlement Flow**  
(Australian customer Buying a US security. FX is Sp)



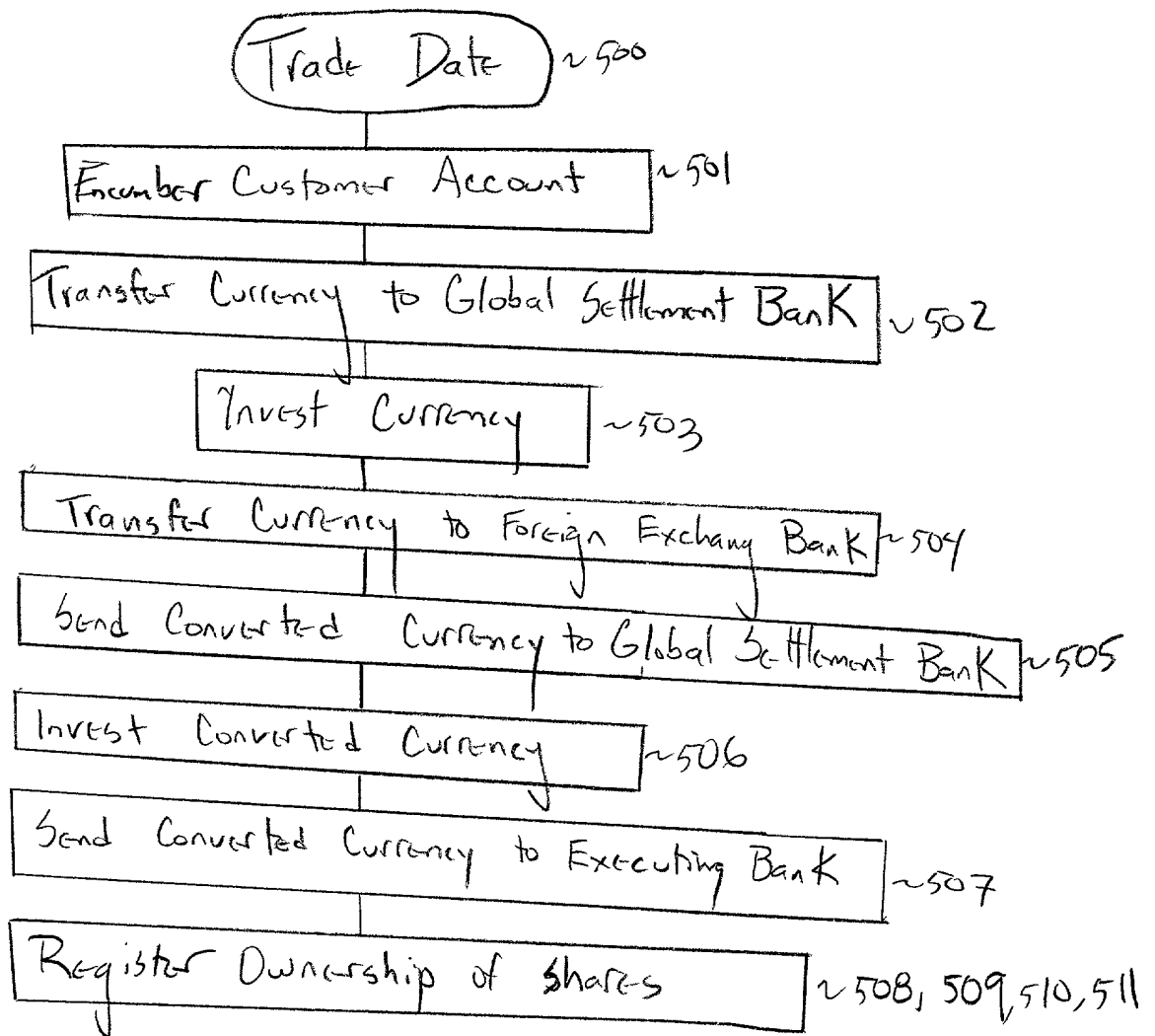


FIGURE 5B

FOR SEC 6A

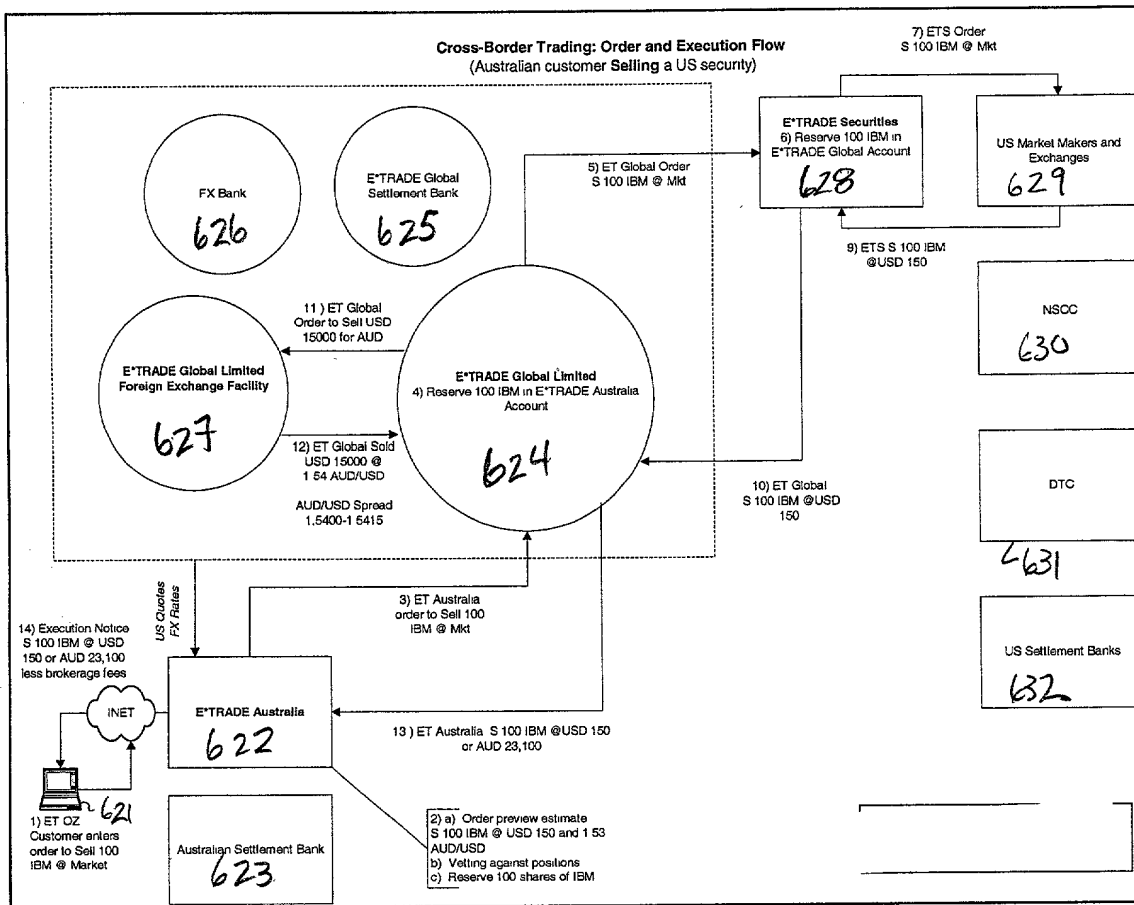


FIGURE 6A

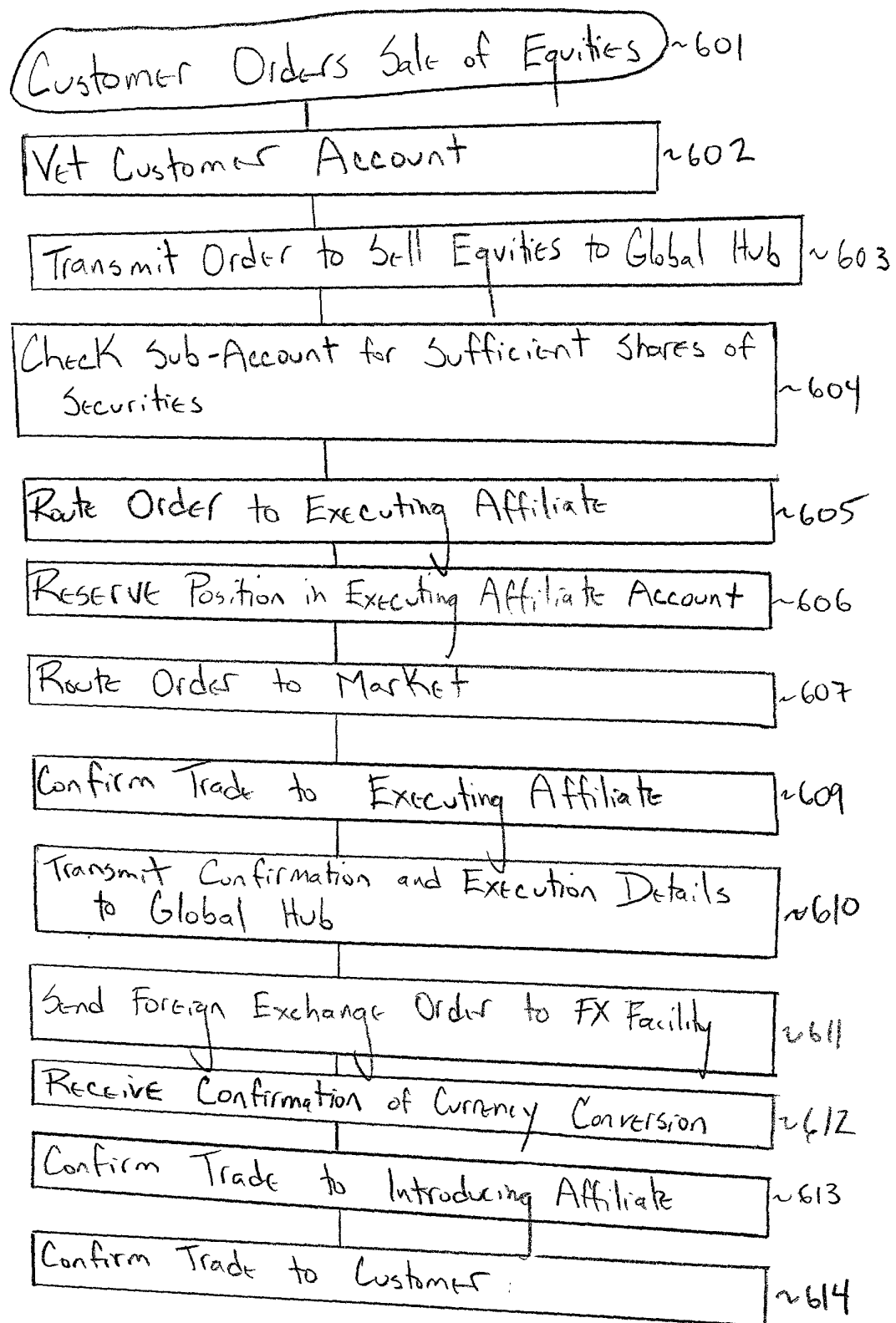


FIGURE 6B

Cross-Border Trading/Settlement Flow  
(Australian customer Selling a US security, FX in Spot Market)

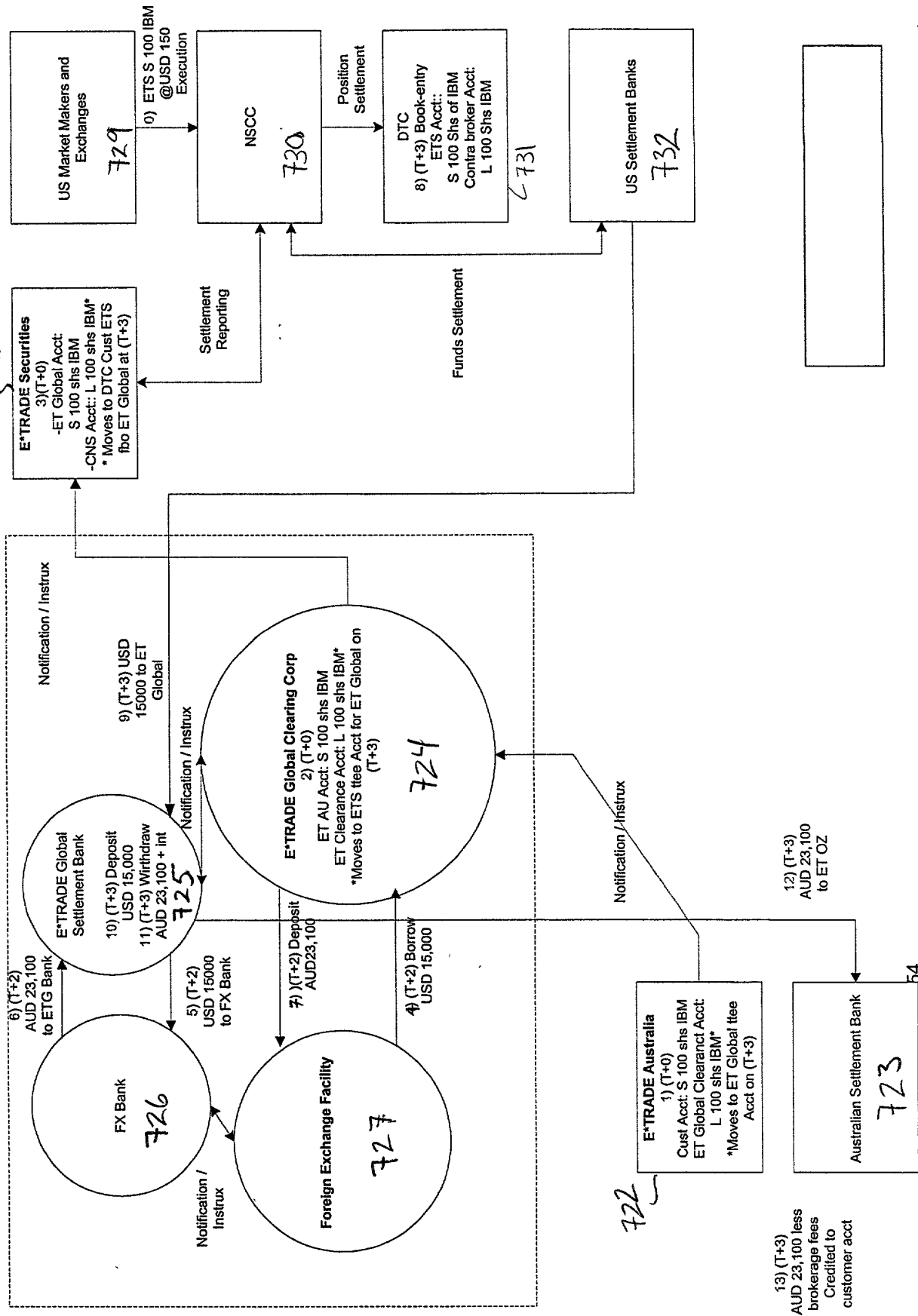


FIGURE 7A

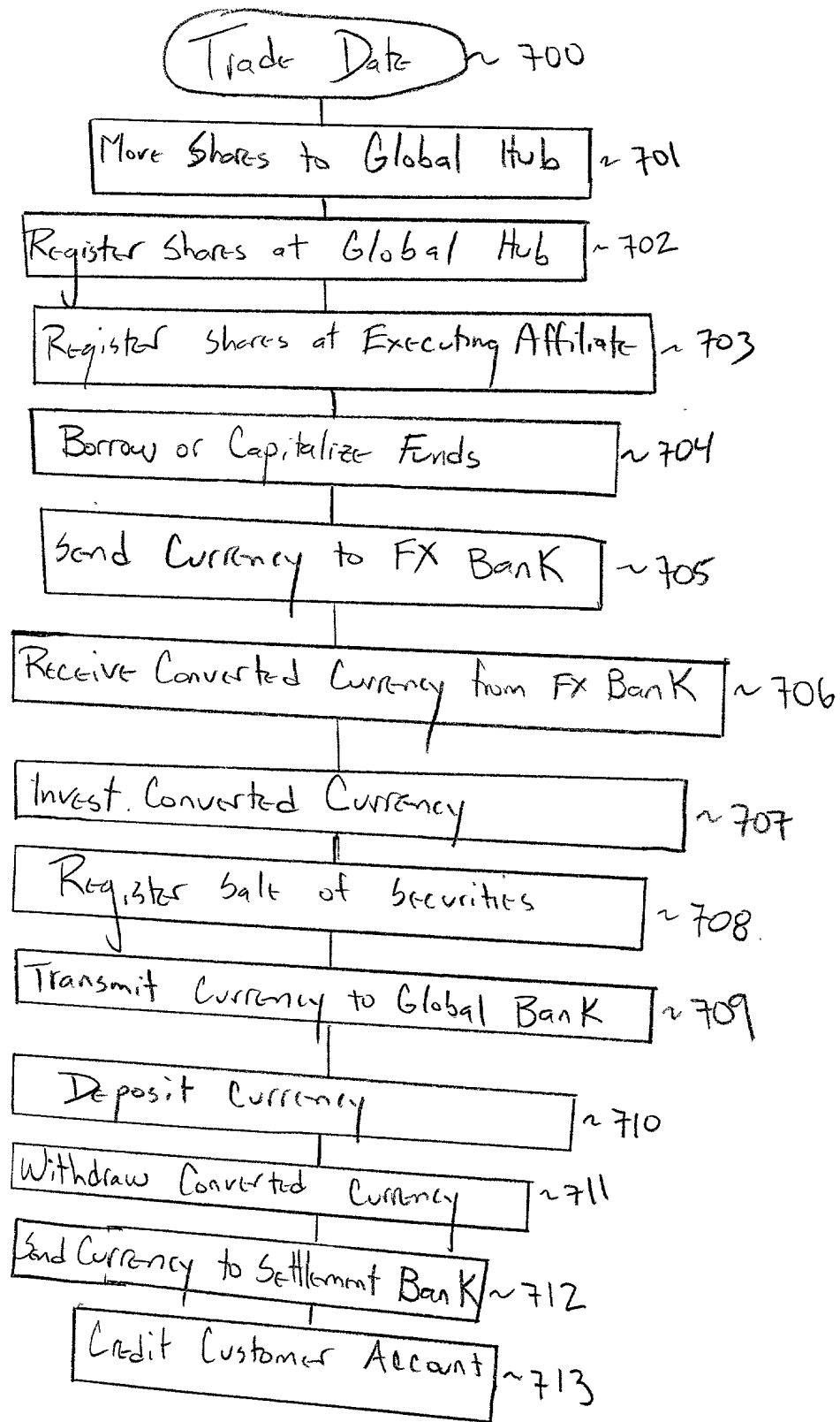


FIGURE 7B

FOR RELEASE

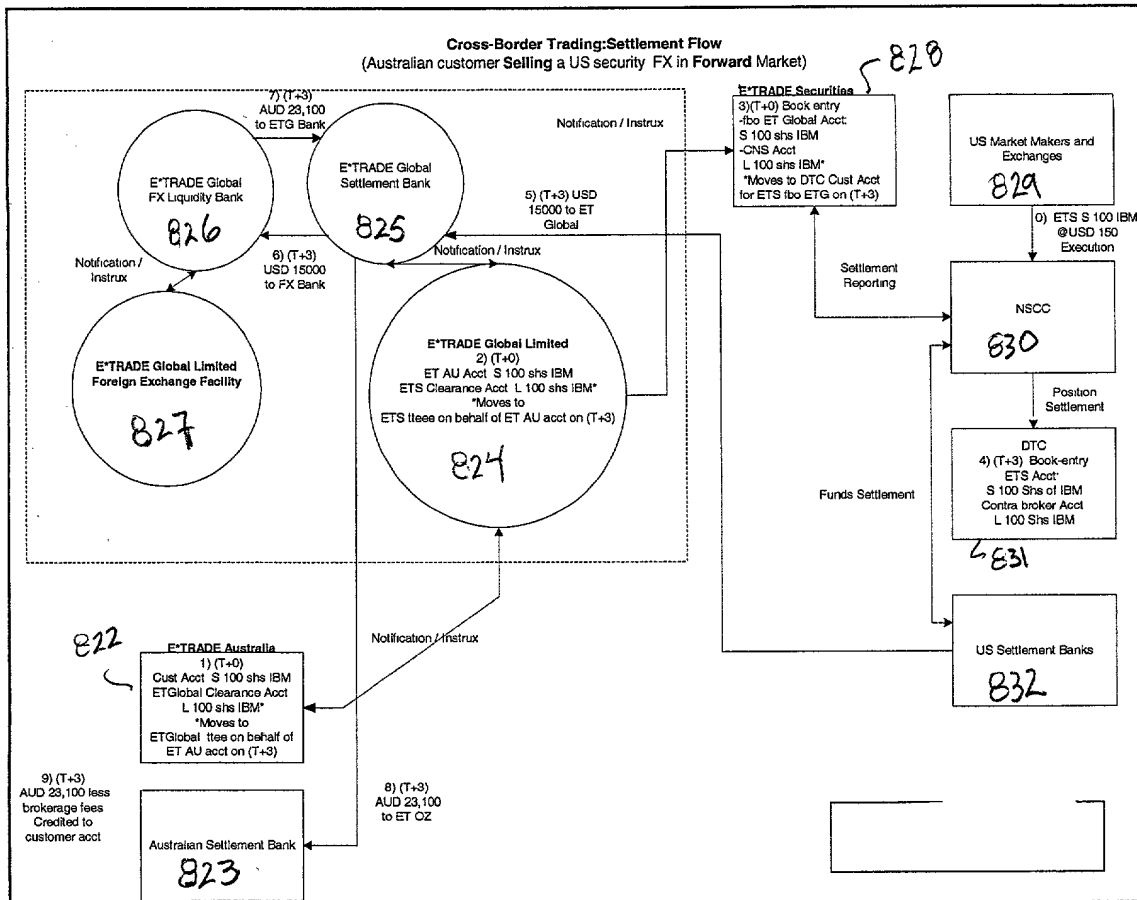


FIGURE 8A

Trade Date ~ 800

Move Shares to Global Hub ~ 801

Register Shares at Global Hub ~ 802

Register Shares at Executing Affiliate ~ 803

Register Shares at Custodian ~ 804

Transmit Proceeds of Sale to Global Bank ~ 805

Transmit Currency to FX Bank ~ 806

RECEIVE Converted Currency from FX Bank ~ 807

Transmit Converted Currency to Introducing Bank ~ 808

Deposit Currency in Customer Account ~ 809

FIGURE 8B

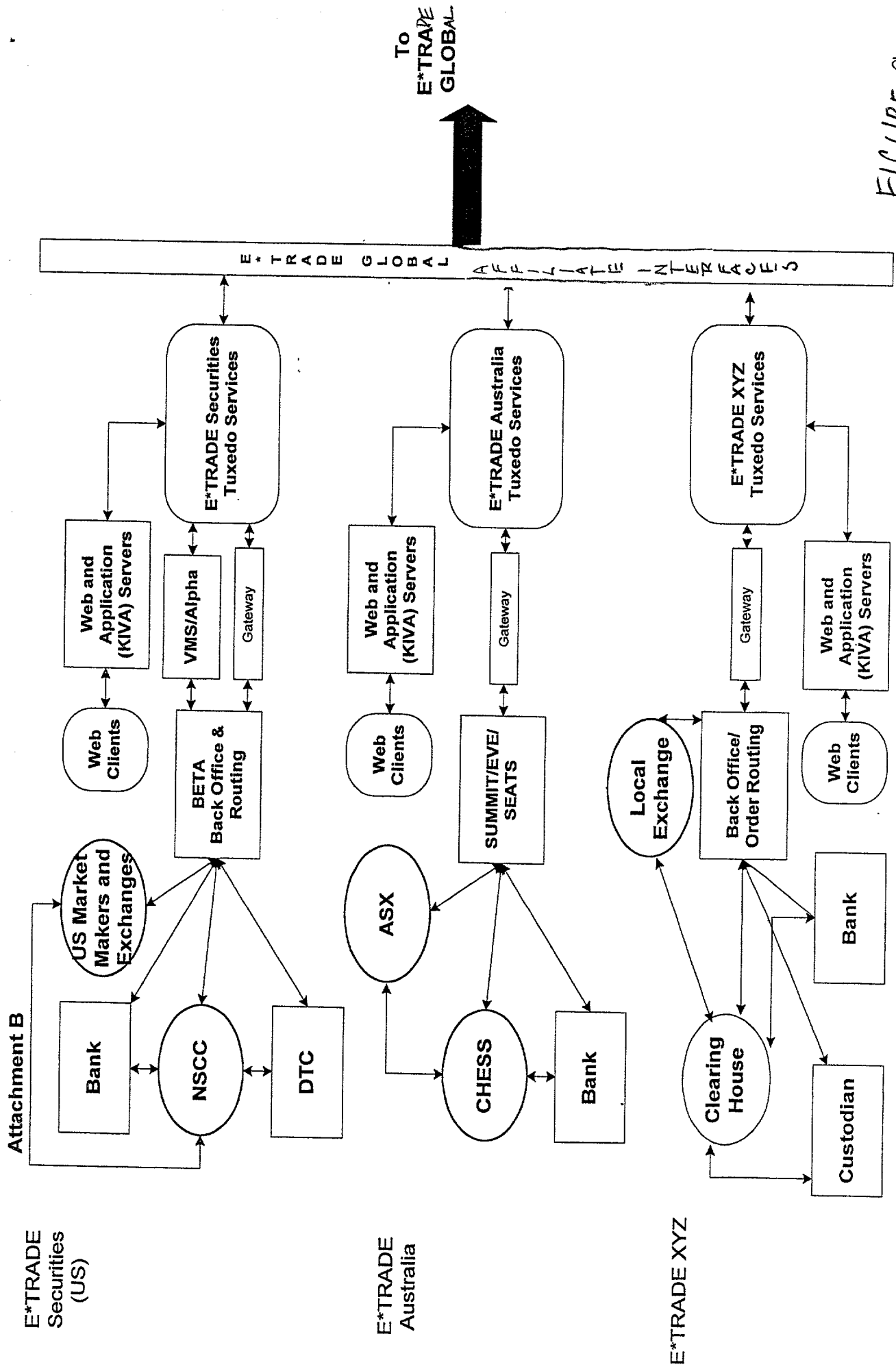


FIGURE 9.

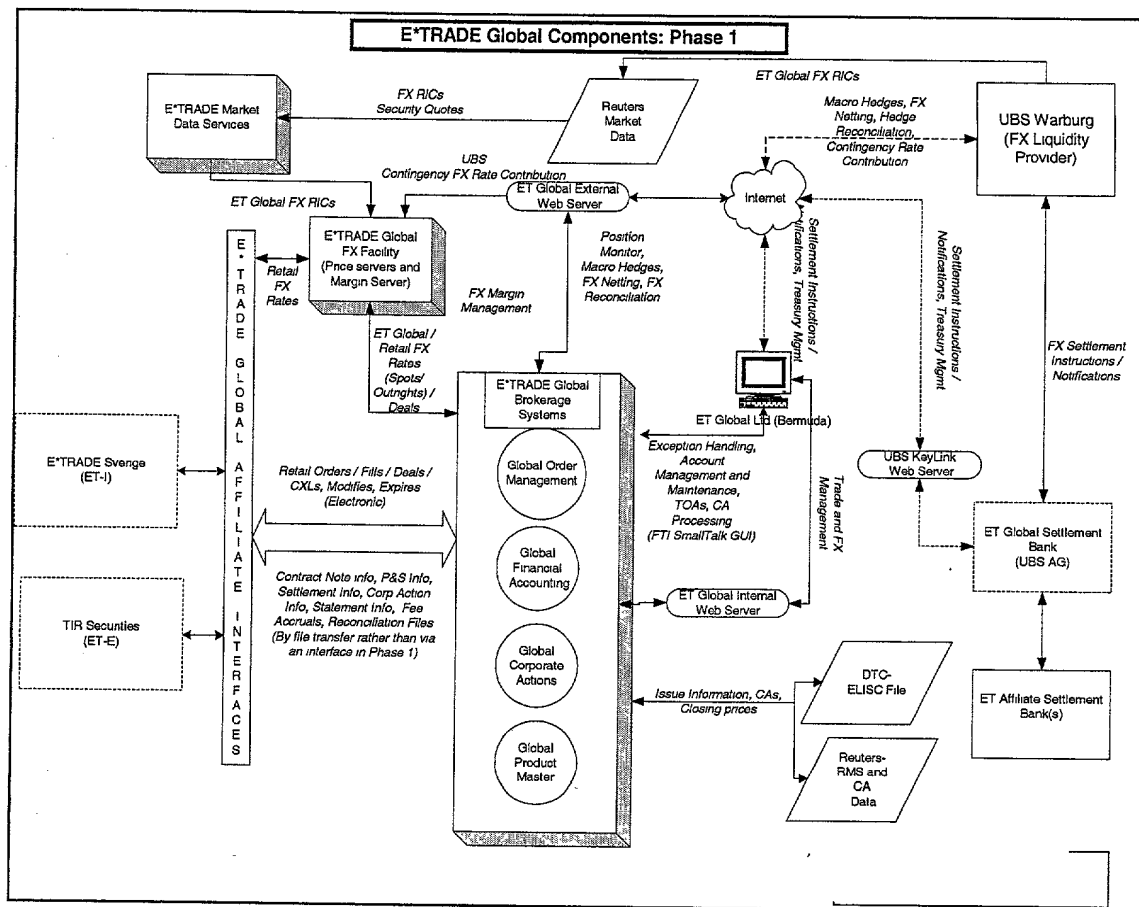


FIGURE 10

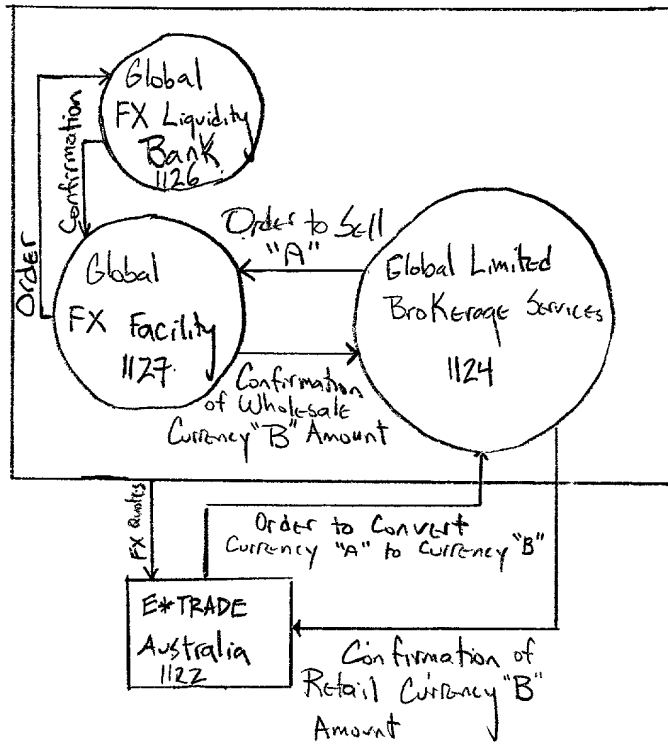


FIGURE 11A

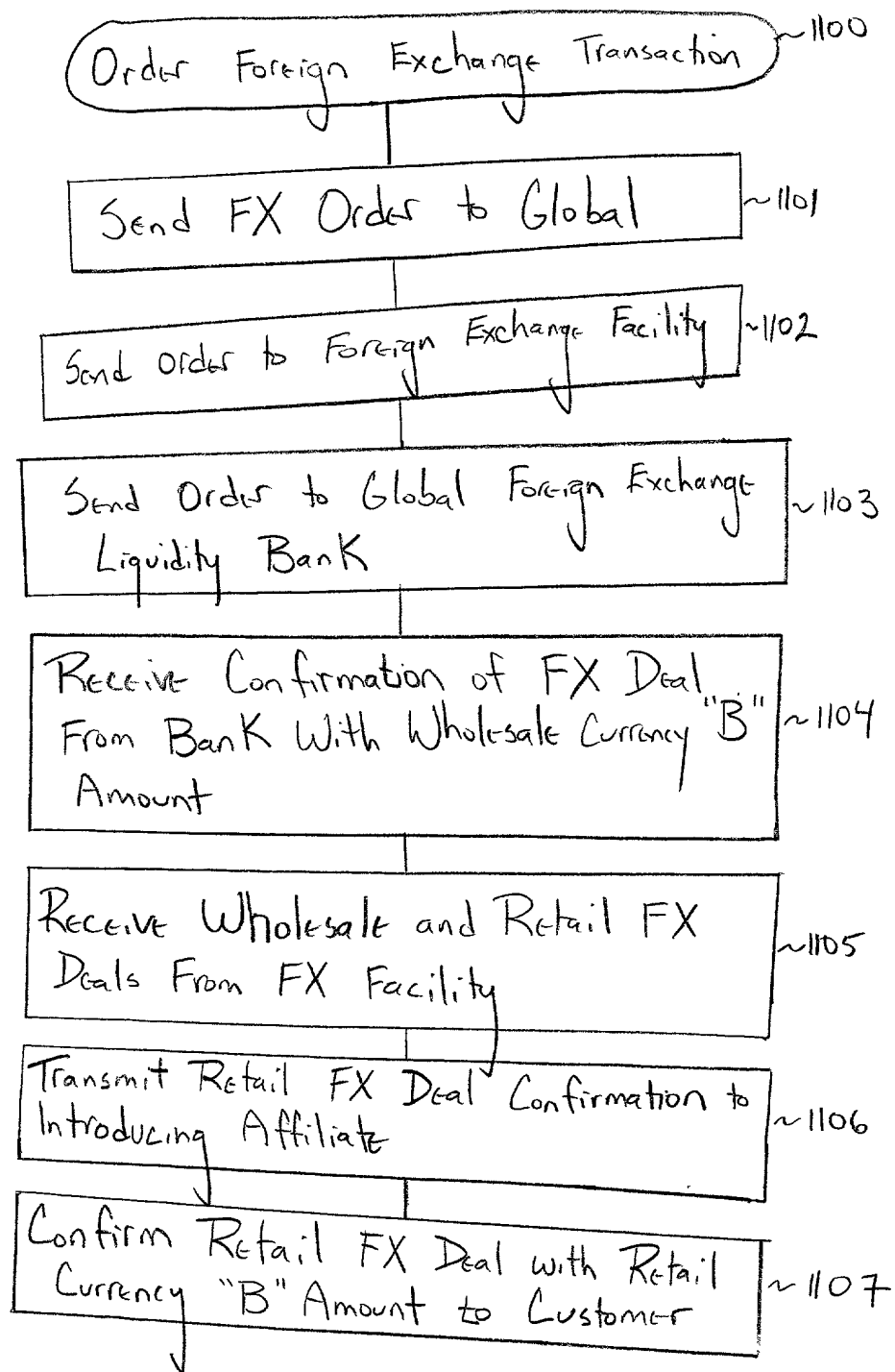


FIGURE 11B